

BearingPoint®

The 26th RegTech Convention 2019

Transformation & Agility

November 26 - 27, 2019
Kap Europa, Frankfurt

#regtechcon

The 26th RegTech Convention

Transformation & Agility.

The RegTech Convention is a 2-day conference that has been hosted by BearingPoint since 1993. Today, the event is one of the largest conferences on regulation and RegTech in Europe with around 700 participants from 30 countries. Participants include representatives of the European banking sector, international financial institutions, insurance companies, regulators and central banks. This year's RegTech Convention will take place on November 26-27, 2019 at the congress center Kap Europa in Frankfurt.

The motto of the 26th RegTech Convention 2019 is "Transformation & Agility". Not least the technological innovations of recent years have initiated a transformation process in the financial industry. Together with representatives of banks and supervisory authorities at the opening conference on November 26th, we will discuss the opportunities and risks of this transformation and how the future will look. What impact will transformation have on banks' business, on supervision, and on collaboration models? Will the new technological possibilities make us more agile and able to act? How do we need to adapt? Are there such things as an "ideal financial regulation" and a "best practice" for the implementation of regulatory requirements? To what extent are agile concepts viable in regulation?

At the banking conference we expect keynotes from supervisory authorities and panel discussions on current regulations and standards. The focus is also on technological topics such as data integration, outsourcing and blockchain. At the parallel topic-specific conferences and in the breakout sessions we will discuss the current developments in RegTech, RiskTech and SupTech.

What to expect

Bringing the industry together

The event developed over the past 25 years and is now one of the largest conferences on financial regulation in Europe. Our unique event brings together international stakeholders such as regulators, regulated firms and industry experts.

Conference Program

The RegTech Convention features several specialist conferences, focus sessions and user groups for banks, insurance companies, and regulators. The plenary session and the main Banking Conference will take a closer look at the transformation of the financial services industry through economic and technological trends.

Meet the Experts

We will be offering the opportunity to meet BearingPoint's regulatory and technology specialists as well as the experts from our sponsors and partners in the "Meet the Experts" format during the coffee breaks.

Evening event

Our traditional evening event offers you the opportunity to network with colleagues in a relaxed atmosphere. Exchange ideas with industry peers and finish off the first conference day with food & drinks.

Guest speakers

The following guest speakers have already confirmed their participation:



Catherine Ahsbahs
Head of the Analytical Credit Data Section,
Directorate General Statistics, European
Central Bank



Nikolay Arnaudov
Senior Policy Officer, Post-Trading Unit,
Markets Department, ESMA



Christoph Bennemann
Partner, d-fine GmbH



Roman Berninger
Member of the Executive Board and Chief
Financial Officer, Berlin Hyp



Stefan Brunken
Head of Division Monetary and Financial
Statistics, Deutsche Bundesbank



Prof. Dr. Hans-Peter Burghof
Head of the Banking and Financial Services
department, University of Hohenheim



Massimo Casa
Head of the Assistance to Reporting Agents
Division, Banca d'Italia



Melanie Claasen
Programme Manager SFTR & EMIR Refit,
UBS



Graham Corr
Head of Regulatory Technology
Development, Royal Bank of Scotland (RBS)



Christian Ebe
Solvency II - Senior Business Analyst /
Project lead, Swiss Life AG



Dr. Carsten Esbach
Chief Operating Officer, BNP Paribas Germany



Dr. Jon Frost
Member of Secretariat, Financial Stability Board



Sandra Hack
Principal Expert - Risks & Financial Stability,
European Insurance and Occupational Pensions
Authority(EIOPA)



Olivia Hauet
Business Owner Regulatory Reporting, Nordea



Marc Hemmerling
General Counsel Digital Banking, FinTech &
Payments, ABBL



Francesca Hopwood Road
Head of RegTech & Advanced Analytics,
Financial Conduct Authority



Dr. Korbinian Ibel
Director General - Microprudential Supervision
IV, European Central Bank



Paul Jansens
Programme Director, SWIFT

Guest speakers



Sebastian Krippendorf
Project Manager of the group-wide DAC
6 project, Deka Group



Daniel Kunkel
Deputy Head of Statistics on Insurance
Corporations and Pension Funds,
Deutsche Bundesbank



Dr. Sian Lewin
Client Delivery Director,
RegTech Associates



Patrick Maccury
Head of Division, DG/IS, Prudential
Domain Services, European Central Bank



William Mason
Director General, Guernsey Financial
Services Commission



Kalliopi Minga
Head of Finance, DekaBank



Ana Teresa Moutinho
Head of the Supervisory Processes
Department, EIOPA



José María Roldán
Chairman/CEO, Spanish Banking
Association



Dr. Christian Schmaltz
Adjunct Professor, EADA Business School



Dr. Rafael Schmidt
Head of IT in the Monetary and
Economic Department, BIS



Ludger Schuknecht
Deputy Secretary-General, OECD



PhDr. Tomáš Sedláček, Ph.D.
Author "Economics of Good and Evil" and
philosopher of economics



Dr. Sertaç Son
Deputy Head of Division, DG/IS, Prudential
Domain Services, ECB



Dr. Silke Stapel-Weber
Director General for Statistics, European
Central Bank



Paul Sedgwick
Director, The Depository Trust & Clearing
Corporation



Dr. Johannes Turner
Director Statistics Department,
Oesterreichische Nationalbank (OeNB)



Prof. Dr. Joachim Wuermeling
Member of the Executive Board, Deutsche
Bundesbank

Agenda overview – November 26, 2019

1st conference day

08:00 am	Registration				
09:30 am	Opening moderator – Ulrich Le, Director, BearingPoint				
09:35 am	Welcome note – Jürgen Lux, CEO RegTech, BearingPoint				
09:40 am	Keynote PhDr. Tomáš Sedláček, Ph.D., Author of "Economics of Good and Evil", philosopher of economics				
10:25 am	Panel discussion: Dialogue between regulators and banks				
11:15 am	<i>Coffee break +++ Meet the Experts</i>				
Noon	Banking Conference	Regulators Conference	Transactions Conference	Insurance Conference	
01:15 pm	<i>Lunch break</i>				
02:15 pm				Risk Conference	Tax Conference
04:15 pm	<i>Coffee break +++ Meet the Experts</i>				
05:00 pm	Focus Sessions				
06:00 pm	Shuttle service to evening event				
07:00 pm	Evening event at Gesellschaftshaus Palmengarten				

Partner exhibition

Agenda overview – November 27, 2019

2nd conference day

08:00 am	Registration				
09:00 am	Welcome note				
09:05 am	RegTech review & outlook				
09:45 am	Technology Focus Session				
10:30 am	<i>Coffee break +++ Meet the Experts</i>				
11:15 pm	Abacus Banking Conference	Regulators Conference	Transactions Conference		
01:15 pm	<i>Lunch break</i>				
02:15 pm	Parallele Breakout Sessions - Stream 1			Erweiterte Sessions	
02:45 pm	Parallele Breakout Sessions - Stream 2				
03:15 pm	Parallele Breakout Sessions - Stream 3				
03:45 pm	End of RegTech Convention				

Partner exhibition

Tuesday, November 26, 2019

Plenary Session and Banking Conference

(Conference language German and English; simultaneous translation)

08:00 am	Registration
09:30 am	Opening moderator Ulrich Le, Director, BearingPoint
09:35 am	Welcome note Jürgen Lux, CEO, BearingPoint RegTech
09:40 am	Keynote PhDr. Tomáš Sedláček, Ph.D., Author of "Economics of Good and Evil", philosopher of economics
10:25 am	Panel discussion: "Transformation as a catalyst for an 'ideal financial regulation' " Panelists: PhDr. Tomáš Sedláček, Ph.D., Author of "Economics of Good and Evil", philosopher of economics; José María Roldán, Chairman and CEO of the Spanish Banking Association; Prof. Dr. Joachim Wuermeling, Member of the Executive Board of the Deutsche Bundesbank
11:15 am	<i>Coffee break +++ Meet the Experts</i>
Noon	Keynote from the SSM: The future of European regulation Dr. Korbinian Ibel, Director General Microprudential Supervision IV, European Central Bank
12:30 pm	Panel discussion: "The future of regulation and regulatory technology Panelists: Dr. Carsten Esbach, Chief Operating Officer CIB Germany & Austria, BNP Paribas; Dr. Silke Stapel-Weber, Director General in the Directorate General Statistics, European Central Bank; Dr. Johannes Turner, Director Statistics Department, Oesterreichische Nationalbank
01:15 pm	<i>Lunch break</i>
02:15 pm	Industrialization of regulatory reporting - operational experiences, experimental approaches, innovative concepts Industry ABBL initiative - Marc Hemmerling, General Counsel Digital Banking, FinTech & Payments, ABBL Experimental approaches – Digital Regulatory Reporting (DRR) - Graham Corr, Head of Regulatory Technology Development, Royal Bank of Scotland (RBS)
02:45 pm	Case study Speaker: to be announced
03:15 pm	Debate: Basel IV – a curse or a blessing? Moderator: Maik Frey, Partner, BearingPoint
03:45 pm	Discussion: „The future of regulation – costs and benefits“ Dr. Sian Lewin, Client Delivery Director, RegTech Associates
04:15 pm	<i>Coffee break +++ Meet the Experts</i>

Tuesday, November 26, 2019

Regulators Conference (Conference language English)

Noon	Keynote: Frontiers in SupTech Dr. Jon Frost, <i>Member of Secretariat, Financial Stability Board</i>
12:30 pm	1-to-1 interview: Regulators and Innovation Francesca Hopwood-Road, <i>Head of RegTech and Advanced Analytics, FCA</i> Moderator: Mark Shead, <i>Senior Manager, BearingPoint</i>
01:15 pm	<i>Lunch break</i>
02:15 pm	SupTech platforms and capabilities of ECB Banking Supervision Patrick Maccury, <i>Head of Division, DG/IS, Prudential Domain Services, ECB</i> ; Dr. Sertaç Son, <i>Deputy Head of Division, DG/IS, Prudential Domain Services, ECB</i>
02:45 pm	Building a Big Data and Advanced Analytics Platform at the BIS Dr. Rafael Schmidt, <i>Head of IT, Monetary and Economic Department, BIS</i>
03:15 pm	1-to-1 interview: Regulation and Technology Prof. Dr. Hans-Peter Burghof, <i>Head of Banking and Financial Services department, University of Hohenheim</i> Moderator: Moritz Plenck, <i>Manager, BearingPoint</i>
03:45 pm	Presentation: Regulatory reporting with Distributed Ledger Technology (DLT) – Transformation scenarios and governance models Moritz Plenck, <i>Manager, BearingPoint</i>
04:15 pm	<i>Coffee break +++ Meet the Experts</i>

Transactions Conference (Conference language English)

Noon	Welcome note Alexander Becht, <i>Manager, BearingPoint</i>
12:30 pm	Keynote: The future of transaction reporting Nikolay Arnaudov, <i>Senior Policy Officer in Market Department, ESMA</i>
01:15 pm	<i>Lunch break</i>
02:15 pm	1-to-1 interview: SFTR Melanie Claasen, <i>Programme Manager SFTR & EMIR Refit, UBS</i> Moderator: Sabine Pieper, <i>Senior Manager, BearingPoint</i>
02:45 pm	Presentation: EMIR Refit Paul Sedgwick, <i>Director, DTCC</i>
03:15 pm	Panel discussion on current topics in transaction reporting Panelists: Nikolay Arnaudov, <i>Senior Policy Officer at the Market Department, ESMA</i> ; Paul Janssens, <i>Programme Director, SWIFT</i> ; Dr. Sarah Schröder, <i>Director of the Capital Markets Department at the Association of German Public Banks (VÖB)</i> Moderator: Alexander Becht, <i>Manager, BearingPoint</i>
04:15 pm	<i>Coffee break +++ Meet the Experts</i>

Tuesday, November 26, 2019

Insurance Conference (Conference language English)

Noon	Keynote: Supervisory convergence and usage of supervisory data by EIOPA Ana Teresa Moutinho, <i>Head of the Supervisory Processes Department, EIOPA</i>
12:45 pm	Presentation: EIOPA's pension data initiative Sandra Hack, <i>Principal Expert – Financial Stability, EIOPA</i>
01:15 pm	<i>Lunch break</i>
02:15 pm	Presentation: ESCB statistics on pension funds Daniel Kunkel, <i>Deputy Head of Statistics on Insurance Corporation and Pension Funds, Deutsche Bundesbank</i>
02:45 pm	Presentation: The future of regulatory reporting: Potentials & challenges of micro services in the context of Solvency II Christian Ebe, <i>Solvency II – Senior Business Analyst / Project lead, Swiss Life AG</i>
03:15 pm	Insurance 2029: Outlook Giso Hutschenreiter, <i>Partner, BearingPoint</i>
03:45 pm	ABACUS/Solvency II: product update and roadmap Thomas Hofmann, <i>Manager, BearingPoint</i>
04:15 pm	<i>Coffee break +++ Meet the Experts</i>

Tax Conference (Conference language English)

02:15 pm	Keynote from the OECD Ludger Schuknecht, <i>Deputy Secretary-General, OECD</i>
03:15 pm	Panel discussion: Mandatory Disclosure Rules (MDR) / DAC6 Panelists: Sebastian Krippendorf, <i>Project Manager of the group-wide DAC 6 project, Deka Group;</i> <i>further panelists requested</i>
04:15 pm	<i>Coffee break +++ Meet the Experts</i>

Risk Conference (Conference language German)

02:15 pm	Keynote "Liquidity Risk" Dr. Christian Schmaltz, <i>Adjunct professor, EADA Business School</i>
03:00 pm	Panel discussion: "Emerging risks and new technology in risk management" (Discussion will be in English) Speakers: to be announced
03:45 pm	Presentation: Risk Governance – Building Robust Risk Processes to meet Regulatory and Supervisory Change Speaker: to be announced
04:15 pm	<i>Coffee break +++ Meet the Experts</i>

Tuesday, November 26, 2019

Focus Sessions, 05:00 – 06:00 pm (Conference languages English/German)

AnaCredit (Conference language: English)

Catherine Ahsbahs, *Head of the Analytical Credit Data Section, Directorate General Statistics, ECB*; Stefan Brunken, *Head of Division Monetary and Financial Statistics at the Deutsche Bundesbank*

BIRD (Conference language: English)

Olivia Hauet, *Business Owner of Regulatory Reporting at NORDEA*; Massimo Casa, *Head of the Assistance to Reporting Agents Division in the Statistical Data Collection and Processing Directorate, Banca d'Italia*

BCBS239 (Conference language: German)

Thomas Ertl, *Senior Manager, BearingPoint*

Outsourcing

Speaker to be announced

Operational and strategic implications of Basel IV

Speaker to be announced

Capital requirements for trading activities - FRTB, SACCR, CVA

Premium Partner KPMG

Evolution of Stress Testing – Trends and Best Practices

Speaker to be announced

Holistic performance management: ways to integrate economic and normative perspectives in a modern bank steering

Speaker to be announced

DRR - Digital Regulatory Reporting (Conference language: English)

Mark Shead, *Senior Manager, BearingPoint*

Evening event

06:00 pm

Shuttle service

A shuttle bus service is provided and runs from the Kap Europa to the evening event location, departing from 06:00 pm. The evening event starts at 07:00 pm. Return journey from the evening event is individual and no shuttle bus service is provided.

07:00 pm

Evening event

Gesellschaftshaus Palmengarten, Palmengartenstrasse 1, 60325 Frankfurt am Main

Wednesday, November 27, 2019

RegTech Plenary Session (Conference language German and English; simultaneous translation)

09:00 am	Welcome note
09:05 am	BearingPoint RegTech review and outlook
09:45 am	Focus Session: Technology Moderator: Dr. Alexandra Roder, <i>Director, BearingPoint</i>
10:30 am	<i>Coffee break +++ Meet the Experts</i>

Abacus Banking Conference (Conference language English)

11:15 am	Abacus client use cases Industry standard for integration with SAP FSDM in regulatory reporting Speaker: Roman Berninger, <i>CFO, Berlin Hyp</i> Moderator: Thorsten Kaiser, <i>Partner, BearingPoint</i>
12:45 pm	Roadmap ABACUS/DaVinci & Abacus360 Banking Ulrich Becht, <i>Principal, BearingPoint</i> ; Ulrich Le, <i>Director, BearingPoint</i>
01:15 pm	<i>Lunch break</i>

Regulators Conference (Conference language English)

11:15 am	Welcome note Daniel Kämmerer, <i>Senior Manager, BearingPoint</i>
11:30 am	Presentation: Collecting regulatory data Thomas Schönenbach, <i>Senior Functional Engineer, BearingPoint</i>
12:00 pm	Presentation: Supervisory analytics John Pashley, <i>Manager, BearingPoint</i>
12:30 pm	Presentation: Employing SupTech in Financial Crime Supervision William Mason, <i>Director General, Guernsey Financial Services Commission</i> ; Christoph Bennemann, <i>Partner, d-fine GmbH</i>
01:00 pm	Presentation: Abacus Regulator Outlook Daniel Kämmerer, <i>Senior Manager, BearingPoint</i> ;
01:15 pm	<i>Lunch break</i>

Wednesday, November 27, 2019

Transactions Conference (Conference language English or German)

11:15 am	Welcome note & presentation: SFTR reporting – demystifying the ISO 220 standard Paul Janssens, <i>Programme Director, SWIFT</i>
11:45 am	ABACUS/Transactions product update Alexander Becht, <i>Manager, BearingPoint</i>
12:45 pm	ABACUS/Transactions deep dive ABACUS/Transactions Team, <i>BearingPoint</i>
01:15 pm	<i>Lunch break</i>

Breakout Sessions, 02:15 – 3:45 pm

	02:15 pm	02:45 pm	03:15 pm
CONCURRENT BREAKOUT SESSIONS	Consideration of collateral across modules within the framework of CRR II Speaker to be announced	Significant changes affecting the NSFR under CRR II Speaker to be announced	Market risk - additional reporting requirements under the alternative standard method (FRTB) Speaker to be announced
	Correction module AnaCredit CorA Speaker to be announced	Counterparty risk / (s)SA-CCR Speaker to be announced	Implementation of SRM reporting in Abacus Speaker to be announced
	Regulatory & Risk on one platform Stefan Trummer, <i>Senior Manager, BearingPoint</i>	Abacus360 Banking Risk - Product Update Dr. Jochen Gerhard, <i>Manager, BearingPoint</i>	
	Abacus Regulator TechStack: A cloud-ready and state of the art big data platform for regulators Speaker to be announced		

02:15 – 03:45 pm	Extended Sessions: Interactive Workshop: How to work agile? - Learn the principles of an agile approach SAP FSDM Integration on Abacus360 Banking – “Deep-dive” Session Berlin Hyp +++ SAP +++ BearingPoint
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03:45 pm	End of the 26th RegTech Convention
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Partners

Premium Partners



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Exhibition Partners



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Partners

Exhibition Partners



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The 26th RegTech Convention

November 26 – 27, 2019

Conference location

Kap Europa
Osloer Strasse 8
60327 Frankfurt/Germany
www.kapeuropa.com

Evening event location

Gesellschaftshaus Palmengarten
Palmengartenstrasse 1
60325 Frankfurt/Germany
<https://www.gesellschaftshaus-palmengarten.de/en/>

Public transportation

The Kap Europa is a 10-minute walk from Frankfurt's central train station or a 5-minute walk from the "Festhalle/Messe" subway or tram stop.

Shuttle bus to the evening event

A shuttle bus service is provided and runs from the Kap Europa to the evening event location, departing from 06:00 pm.

The evening event starts at 07:00 pm. Please book your shuttle bus seat with your conference registration. Return journey from the evening event is individual and no shuttle bus service is provided.

Accommodation

To make your stay in Frankfurt as comfortable as possible, we have reserved a contingent of rooms at special rates in selected hotels which are located close to the event location. We recommend to make your hotel reservation as early as possible. Please find further information [here](#).

Contact

In case of any questions, wishes or suggestions concerning the 26th RegTech Convention please feel free to contact our organization team. We are happy to answer any questions you may have.

BearingPoint
Speicherstrasse 1
60327 Frankfurt/Germany

Phone: +49 69 567 007 910
E-Mail: regtech-convention@bearingpoint.com

www.regtech-convention.com
www.reg.tech

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